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## JAMA Comments on the UK IPO SEPs Consultation

The Japan Automobile Manufacturers Association, Inc. (JAMA) is a non-profitindustry organisation comprising Japan's fourteen manufacturers of passenger cars, trucks, buses, and motorcycles.

We would like to express our deepest gratitude to the UK Intellectual Property Office for the support they consistently extend to our member companies' business activities in the UK, and submits this response to the consultation on Standard Essential Patents (SEPs) by the IPO.

No	Question	JAMA's answer
Q1	Would an RDT within IPEC	We believe that an RDT within the IPEC could meet the objective of providing fast and efficient rate
	meet our objectives of	determination from an expert court under certain conditions.
	providing fast and efficient	
	rate determinations?	·Although limited to cases where infringement, validity, and substantive issues are not contested, an RDT
		within the IPEC could help prevent rights holders from demanding excessively high rates by threatening
		injunctions against implementers. This could be achieved by establishing appropriate rules within the court.
		·Fair and appropriate deliberation would ensure both promptness and efficiency.
		·Currently, SEP negotiations lack transparency and predictability, exposing implementers to the risk of
		unpredictable financial losses. These factors hinder innovation and reduce investment incentives in Europe
		and the United Kingdom. An RDT could contribute to a more transparent and predictable SEP negotiation
		environment, particularly if the determinations are published.
		However, there are significant challenges in relying on comparable rates. In order for the RDT to be a viable,
		cost-effective solution, UKIPO should first develop predicate valuation tools that are party-agnostic — such as
		an aggregate royalty.
Q2	Locating an RDT in an	We understand the advantages of establishing an RDT within the existing court system.
	existing court structure has	While alternative approaches—such as third-party mediation—may potentially serve a similar function, we
	advantages, but are there	believe that placing the RDT within the court would be preferable.
	any alternatives that could	This is because we consider it essential to ensure accessibility for all stakeholders, [binding, enforceable

	achieve the government's	decisions?] and to guarantee the public disclosure of outcomes.
Q3	objectives?  What are your views on how	·To promote the use of RDTs by SMEs, their accessibility should be enhanced by allowing them to be utilized
	the government could	at any stage of bilateral negotiations—regardless of whether ADR procedures are in place; and
	ensure a rate determination	·For SMEs to effectively access RDTs, it is essential that the process does not require excessive costs or
	route is accessible to SMEs?	preparation, for example by requiring lengthy disclosure/evidence requirements.
		One such method would be to use top-down valuation based on a pre-established aggregate royalty.
Q4	What should the remit and	·The decisions made by the RDT must carry legally binding force, so that decisions can be enforced;
	scope of an RDT be e.g.	·Anyone should be able to request access to the RDT at any stage of the negotiation process, although
	reasonable licence rates and	parties facing liability from the RDT should have their rights to have the matter adjudicated by the UK High
	terms; who brings the claim	Court preserved; and
	(licensor, licensee or other	·The RDT should [only] determine UK license rates based on UK patents.
	parties)?	·Who should be eligible to file a request:
		Parties such as rights holders, implementers, and prospective implementers should be able to file a request.
		In other words, anyone with the potential to implement the technology should be eligible;
		Additionally, those with a vested interest in rate determination—such as suppliers responsible for patent
		guarantees—should also be allowed to file a request;
		Furthermore, any individual or entity should be permitted to provide relevant information to the RDT; and
		Finally, any implementer should be permitted to initiate an RDT against a patent pool. This would be an
		important cost-saving measure by reducing the need for multiple, duplicative, rate determinations given that
		the SEPs at issue are already licensed together.
Q5	Are you aware of any	Blank (JAMA will not answer this question.)
	additional evidence or	
	research the IPO could utilise	
	to inform the development	
	of the RDT, or alternatives to	
	the RDT that achieve the	
	same outcomes?	
Q6	How do you think an RDT	·Disclosure of Information through public decision making:
	should be structured and	Accessibility will be improved if comparable rates are publicly disclosed.

	resourced to be effective and	Clear procedural rules
	accessible (e.g. composition	Panel Composition:
	of a panel with relevant	Given the nature of determining binding rates, it is essential to establish multiple panels that include
	expertise, decision-making	members with technical expertise in addition to standard legal qualifications, while also ensuring neutrality.
	processes, procedural rules)?	
Q7	In your view, how would the	Blank (JAMA will not answer this question.)
	government's proposed RDT	
	provide efficiencies above	
	and beyond what is available	
	elsewhere in the High Court?	
Q8	What would be your	We believe that RDTs should consistently possess and disclose multiple relative data sets of FRAND (Fair,
	preferred model to base	Reasonable, and Non-Discriminatory) RATEs.
	licence rate calculations?	Current challenges include the asymmetry of information between rights holders and implementers, as well
	What specific methodologies	as the lack of disclosure of comparable rates.
	or principles do you believe	Even if parties do not submit such data as evidence, RDTs should be authorized to use the information ex
	should be considered?	officio to make informed decisions, thereby ensuring fairness and reducing the burden on both parties.
		In both InterDigital v. Lenovo and Optis v. Apple, the English courts recognized that there are significant
		complexities with relying on comparable licenses to establish a FRAND rate. Because of these issues, a
		reliable outcome based on comparable licenses is likely to be cost-prohibitive. We suggest that any RDT
		should deploy a top-down approach and rely on an aggregate royalty set externally to the proceedings.
Q9	What factors should	In addition to the above, the following factors should be considered:
	determine which calculation	·Setting an upper limit on royalties to prevent royalty stacking;
	method is used, or be taken	·Assessing the degree of contribution of the invention to the relevant standard;
	into consideration (e.g.	·Determining the unit price based on the smallest saleable patent practicing unit (SSPPU);
	license facts such as	·Considering the remaining term of the patent; and
	duration, scope, age, term,	·Excluding factors unrelated to the application of the technology from the royalty calculation (e.g., EMV).
	previous royalty rates, fee	For example, the price of an automobile can vary more than tenfold due to factors unrelated to
	structure; and company	communication technology—such as engine size, seat quality, and brand value. However, the cost of
	specific data such as size,	communication-related components remains relatively constant. Moreover, the cost of an automobile can be
	sales volume, products)?	hundreds of times that of a smartphone even though they use similar components and implement the same

		version of the cellular standard.
		Therefore, SEP holders should not be entitled to charge higher fees based on non-standardized features like
		engine performance or specific end use. Such unrelated factors capture value unrelated to the patented
		technology and therefore should not be reflected in the royalty calculation.
Q10	Do certain sectors or	In the context of sectors and technologies related to vehicles and multi-component products,
	technologies require their	Japan's Ministry of Economy, Trade and Industry (METI) has issued the Guide to Fair Value Calculation of
	own specific methodology?	Standard Essential Patents for Multi-Component Products, which outlines the following principles:
	Please provide examples.	Principle (1): The parties to a licensing agreement should be decided based on the concept of "license to all";
		Principle (2): Royalty should be calculated using a "top-down" approach; and
		Principle (3): Royalty should be calculated based on the portion to which the SEP technology contributes
		(contribution rate) in the value of the main product that implements the SEP technology.
		Link to METI's Guide (English)
		https://www.meti.go.jp/policy/mono_info_service/mono/smart_mono/sep/200421sep_fairvalue_hp_eng.pdf
Q11	Would publication of	The publication of decisions is a key enabler of transparency.
	decisions be an enabler of	To meet the FRAND terms, royalty rates should be uniform.
	transparency or discourage	A minimum requirement for satisfying these terms is the disclosure of the rates.
	use of the RDT?	Moreover, not only the final amount awarded, but also the reasoning and process by which the decision was
		reached should be made public.
		Finally, SME implementers should be permitted to request at the outset of the proceeding that their identity
		be redacted from the public record to prevent their participation in the rate determination from leading them
		to be singled out by SEP holders over their competitors. While it is important that all companies should be
		willing to pay FRAND royalties, a company that carries a significantly greater royalty burden than its
		competitors is placed at a material (and potentially existential) disadvantage.
Q12	What powers or procedural	Requests can be submitted at any time, regardless of the stage of negotiation.
	rules should be implemented	Requests must be made by relevant parties, including rights holders, implementers, and prospective
	to ensure the RDT operates	implementers. However, given the information asymmetries and potential risk of past liability, an
	effectively and facilitates	implementer should be entitled to remove the proceeding to the High Court if an action is initiated against
	accessible, quick and cost-	them by a rights holder.
	effective rate	Additionally, individuals or entities with a legitimate interest in determining the royalty rate—such as suppliers
	determinations?	responsible for patent guarantees—should also be eligible to file a request.

Q13	What powers and rules of	Subject to the possibility of appeal, a key factor that would encourage use of the RDT would be that the
	procedures would be most	decision has the same binding effect as a final Court judgment.
	useful to ensure the RDT can	The RDT must accept requests from all relevant parties, including rights holders, implementers, and
	encourage its use by all	prospective implementers.
	parties in the SEP	Additionally, entities with a legitimate interest in determining the royalty rate—such as suppliers responsible
	ecosystem?	for patent guarantees—should also be eligible to file a request.
		Given the reduced procedural safeguards, there should be limitations regarding using the outcomes of these
		procedures as comparable in other proceedings. Unlike full judicial FRAND determinations or even most
		arbitration, the adjudicators here would be limited in their ability to engage with the facts and evidence of
		the particular patent. Because of this, the outcomes may not reliably reflect the economic value of the
		portfolio, making them inappropriate as a comparable in other cases.
Q14	In your view, would this	Yes
	proposal meet the	JAMA welcomes the IPO's approach to ensuring transparency in connection with SEP licensing by requiring
	government's aims of	disclosure of pertinent information in a central SEP register and database and by specifying the requisite
	increasing transparency and	information in some detail.
	reducing information	
	asymmetry? Please explain	
	why.	
Q15	How should the government	JAMA proposes that the scope should include standards issued prior to the enforcement of the regulation,
	provide legal certainty for	and that it should be able to address current urgent issues related to FRAND licensing and enforcement.
	users on what is in scope of	Major existing wireless communication standards such as 4G, 5G, and Wi-Fi will continue to be essential for
	this proposed mandatory	business in many industrial sectors and IoT. These standards have also seen the most SEP litigations and
	requirement (e.g., specific	licensing disputes over the past decades and have been the source of the greatest challenges regarding
	provisions enshrined in law	transparency and determination of FRAND terms. JAMA is concerned that excluding such major existing
	outlining when the	standards from the scope would result in the loss of beneficial effects precisely in the areas where they are
	requirement to provide	most needed.
	information is triggered or	JAMA suggests that, rather than limiting the application to SEPs for which the SEP holders have made a
	what is excluded from the	commitment to license on FRAND terms, the definition of "SEP holder" should be clarified to explicitly include
	requirement)?	all SEP holders, regardless of whether they are members of an SSO.
		JAMA is concerned that implementers may be placed in a vulnerable position. Specifically, this refers to rights

holders such as PAEs (Patent Assertion Entities), who purchase patents from non-SSO members and may delay or refuse FRAND commitments for strategic or tactical reasons. Under the draft regulation, such SEP holders would not be required to comply with the procedures stipulated by the regulation before initiating litigation. To provide legal certainty for users, JAMA recommends that the government enshrine specific provisions in law that clearly define the scope of the mandatory requirement, including: A comprehensive list or clear criteria for which standards are included, covering both pre-existing and future standards: Explicit definitions of SEP holders to ensure all relevant parties are covered, regardless of SSO membership or FRAND commitments: Clear triggers for when the requirement to provide information is activated, and transparent exclusions, if any, to avoid ambiguity. This approach would ensure that all stakeholders have a clear understanding of their obligations and rights under the regulation, thereby providing the necessary legal certainty. Centralized management and operation of SEP information should be undertaken by a neutral and specialized third-party organization, such as the "Competence Centre" formerly proposed in the European Commission's "Regulation Proposal on SEPs (2023/0133 (COD))." Q16 What standard related The register should contain the following entries: patents information should (a) Information on the relevant standards to which the patent relates; rightsholders be required to (b) Identification details of the registered SEP, including the country of registration and the patent number; submit to the IPO to build a (c) The version of the standard, the technical specification, and the specific sections of the technical useful data set (e.g. specification for which the patent is considered essential; technical specification or (d) Reference to the terms of the SEP holder's FRAND licensing commitment to the standard development standard the patent relates organisation; to, FRAND commitment, (e) Name, address, and contact details of the SEP holder; availability of licences)? (f) Where the SEP holder is part of a group of companies, the name, address, and contact details of the parent company; (g) Where applicable, the name, address, and contact details of the SEP holder's legal representative in the UK:

		,
		(h) The existence of any public standard terms and conditions, including the SEP holder's royalty and
		discount policies, and information regarding the licensed products and (groups of) potential licensees to
		whom those standard terms and policies apply (e.g., the relevant industry/sector and the application level
		within the value chain, such as OEM or Tier-N supplier);
		(i) The existence of any public standard terms and conditions for SEP licensing to SMEs;
		(j) Where applicable, the availability of licensing through patent pools;
		(k) Contact details for licensing, including the licensing entity; and
		(I) The date of registration of the SEP in the register and the registration number.
Q17	Are there alternative	Quality and Transparency of Essentiality Checks:
	mechanisms or routes that	JAMA is concerned that if essentiality checks, FRAND determinations, and expert opinions on aggregate
	might more easily achieve	royalties are of insufficient quality or are perceived as biased, the institutions and procedures established by
	the government's objectives	the IPO's regulatory proposals will become an additional burden for the industry rather than a relief. This
	of increasing transparency	would undermine the entire purpose of the regulatory proposals.
	and reducing information	Essentiality Checks – Exclusion of Pool-Checked SEPs:
	asymmetry?	JAMA firmly believes that SEPs checked by patent pools should not be exempted from the "Competence
		Centre's essentiality checks and scrutiny. Exempting these SEPs creates an inherent risk of unconscious bias
		in favour of finding essentiality.
		Transparency and Stakeholder Participation in FRAND Determination:
		JAMA believes that third parties with a legitimate interest in the outcome of the FRAND determination should
		be allowed to join the proceedings or, at the very least, be entitled to submit observations for consideration
		by the conciliator.
		Disclosure of Aggregate Royalty Methodology:
		Without specific information about the methodology used to determine the aggregate royalty and the
		underlying assumptions, it is impossible to ascertain whether the determination is accurate and FRAND-
		compliant.
Q18	What, if any, sanctions	JAMA believes that in order to ensure the effectiveness and transparency of SEP regulations, it is important to
	should the government	introduce appropriate sanctions for non-compliance issues. However, in designing sanctions, the following
	consider introducing to deal	points should be emphasized:
	with non-compliance issues	1. Publication of company names in lists

Listing the names of SEP holders who have violated the regulations in official databases or public lists. (e.g. invalidity, enforceability, public listing of non-However, in order to prevent misidentification or unjust listing, sufficient prior notice and opportunities for compliant patent owners, objection should be provided. fines, administrative fees)? Administrative penalties (fines/fees) 2. Imposing administrative fines or additional fees for malicious or repeated violations. The size of fines or fees should be set in stages according to the nature, frequency, and impact of the violation, whilst taking care not to impose excessive burdens. Restrictions on the exercise of rights If the non-compliance issues are serious, the exercise of rights (such as injunctions or claims for damages) for the relevant SEP could be restricted for a certain period. However, restrictions on the exercise of rights should be carefully implemented, taking into account the balance with the legitimate rights of patent holders. 4. Invalidation/compulsory corrective measures In cases of extremely malicious conduct or false declarations, cancellation or invalidation of the relevant SEP registration, or compulsory corrective measures (e.g., compulsory FRAND declaration), could be imposed. Procedural guarantees and fairness When applying sanctions, sufficient opportunities for both SEP holders and implementers to express their opinions and file objections should be ensured to guarantee procedural fairness. JAMA requests a balanced system design so that sanctions do not become excessively strict and hinder innovation or standardization activities. We also hope that sanctions will be implemented in a manner that contributes to the objectives of the SEP regulations, namely, the realization of a FRAND licensing environment and the promotion of sound market competition. How should the IPO ensure JAMA believes that ensuring the accuracy and reliability of information related to SEPs is essential for Q19 information is supplied streamlining and increasing the transparency of SEP license negotiations. In particular, considering the accurately by the current situation where information asymmetry regarding the essential requirements of SEPs and FRAND rightsholder (e.g penalties, conditions poses a significant practical barrier, we propose the following: incentives such as reduced Centralized management and operation by neutral and specialized "competence centres," etc. fees)? Centralized management and operation of SEP information by a neutral and specialized third-party organization, such as the "Competence Centre" formerly proposed in the European Commission's "Regulation Proposal on SEPs (2023/0133 (COD))."

	Relying solely on self-declaration by SEP holders carries a high risk of incomplete information, arbitrary operation, or unintended errors, and in practice, disputes over the essentiality and validity of SEPs occur frequently. On the other hand, if an independent expert organization conducts examination, verification, and
	frequently. On the other hand, if an independent expert organization conducts examination, verification, and
	update management of registration information, the objectivity and accuracy of the information would be
	greatly improved, providing a reliable foundation for implementers.
	2. Specific measures to ensure information accuracy
	(1) Clarification of submission obligations and monitoring of compliance
	Legally obligate rights holders to accurately submit and update standard-related patent information (patent
	number, standard name/version, presence or absence of FRAND commitment, license terms, etc.), and
	establish a system in which the "Competence Centre" regularly monitors and inspects compliance.
	(2) Acceptance of objections and correction requests by third parties
	Establish a system that allows implementers and other stakeholders to easily file objections or correction
	requests when errors or deficiencies in registration information are discovered, and develop a structure for
	prompt investigation and response.
	(3) Penalties and incentives
	Impose penalties such as temporary suspension of registration or fines for intentionally or grossly negligently
	providing false or inaccurate information, while granting incentives such as reduced registration fees to rights
	holders who provide accurate information and update it promptly.
	(4) Essentiality checks by experts
	Institutionalize sampling checks and, as necessary, third-party evaluations by independent experts regarding
	the essentiality of SEPs.
In your view, do the general	Blank (JAMA will not answer this question.)
pre-action protocols as laid	
out in the Civil Procedure	
Rules (Pre-Action Protocols –	
Civil Procedure Rules)	
encourage sufficient	
information exchange to	
reduce the need for	
litigation, including on SEP	
( ( ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) (	ore-action protocols as laid out in the Civil Procedure Rules (Pre-Action Protocols – Civil Procedure Rules) encourage sufficient information exchange to reduce the need for

	pricing and essentiality?	
Q21	Are you aware of any	Blank (JAMA will not answer this question.)
	instances where pre-action	
	protocols are ineffective or	
	not adhered to, either	
	generally or specifically in	
	SEP disputes?	
Q22	Do you think the introduction	Yes. In the current SEP environment, the "essentiality data" and the "method for calculating the FRAND rate"
	of a SEP specialist pre-action	are often not disclosed, which we believe leads to the opacity and asymmetry of SEP licensing. We expect
	protocol would address	that the introduction of a "SEP pre-action protocol", which would include the disclosure of this key information
	information asymmetry on	during the early stages of a dispute and would suspend a patent holder's ability to seek injunction, could help
	pricing and essentiality by	resolve these issues earlier in the negotiation process. Overall, this would make license negotiations more
	providing clear expectations	transparent and fairer.
	on information exchange at	
	an early stage?	
Q23	In your view, what should be	We believe it is important to disclose at least the "essentiality data" and the "method for calculating the
	included in any specialist SEP	FRAND rate". In addition, clearly disclosing whether the subject SEP (and corresponding standard, as well) is
	pre-action protocols to	mandatory or optional would help facilitate negotiations.
	facilitate early disclosure of	
	significant SEP information	
	(e.g. claim charts, standard	
	and version, essentiality	
	data, how the FRAND rate	
	was arrived at)?	
Q24	Have you used commercial	Blank (JAMA will not answer this question.)
	essentiality services? Yes /	
	No	
Q25	If you have used commercial	Blank (JAMA will not answer this question.)
	services to assess	
	essentiality, what are your	

	T	
	how you ensure reliability of	
	the data?	
	your main clients (e.g. SEP	
	holders/licensees/others)?	
	what your services are used	
	for (e.g. assessing the value	
	of a whole portfolio, dispute	
	resolution)?	
Q28	Do you think there is value	There is a certain value in establishing a government-led system for conducting essentiality assessments of
	in a government-led	SEPs. In order to enhance the transparency and predictability of SEP licensing, it is essential to ensure high-
	essentiality review	quality essentiality assessments by guaranteeing third-party involvement and neutrality. In particular, it is
	mechanism at the IPO?	important for information regarding the essentiality of SEPs to be accurate and reliable, as this is crucial for
		determining FRAND terms and for the healthy development of the industry as a whole.
		On the other hand, conducting essentiality assessments requires securing sufficient resources and selecting
		neutral assessors with appropriate knowledge and experience. If the quality or neutrality of the assessments
		cannot be ensured, it may instead impose unnecessary burdens or cause confusion within the industry.
		Therefore, when building an assessment system, it is important to establish strict selection criteria and
		procedural safeguards.
		In conclusion, a government-led essentiality assessment mechanism has certain value in improving the
		reliability of SEP licensing and preventing disputes, provided that an appropriate operational system and the
		assurance of neutrality and transparency are in place. However, because even high-quality essentiality checks
		can be wrong, any essentiality checks should not be treated as prima facie evidence in litigation that any
		individual patent is actually essential and should not change the burden of proof in establishing essentiality
		and infringement.
Q29	How could the government	The government should ensure that essentiality assessments are conducted in a timely manner by qualified,
	provide value for money, so	experienced, and neutral assessors and arbitrators, through a rigorous and carefully managed selection
	affordable essentiality	process.
	assessments are available?	This would enable the provision of cost-effective services and would make essentiality assessments available

	T	
		at affordable prices.
Q30	What do you anticipate the	[Primary Users]
	primary use of an IPO led	SEP holders
	essentiality checking service	They use the service to prove, through third-party evaluation, that their patents are truly essential to the
	would be? Who would	standard, thereby enhancing credibility in license negotiations.
	primarily make use of it and	Implementers (e.g., product manufacturers)
	for what purpose?	They use the service to verify whether the presented SEPs are truly essential, helping them avoid excessive
		royalty demands or unnecessary license agreements.
		Suppliers to implementers
		In recent litigation cases, suppliers have increasingly faced legal risks related to SEPs. As a result, FRAND
		assessments and essentiality check results can influence pricing and contract terms across the entire supply
		chain, making suppliers potential users of the service.
		[Main Purposes of Use]
		Streamlining license negotiations
		Objective essentiality assessments clarify the starting point for negotiations and help prevent disputes.
		Reducing litigation risk
		Third-party evaluation results can be used as evidence in litigation, helping to mitigate legal risks and reduce
		evidentiary burdens.
		Promoting a healthy market
		Establishing a transparent evaluation system helps prevent SEP abuse and fosters a fair competitive
		environment.
Q31	What other options could	To provide cost-effective essentiality assessments for SMEs and startups, institutional flexibility and financial
	you suggest to provide cost-	support are essential.
	effective essentiality	First, establishing a system that allows all implementers—regardless of company size or market share—to
	assessments for SMEs and	participate in the assessment process would enable transparent access to information;
	startups?	Second, to reduce the financial burden of assessments, public subsidies and a tiered fee structure based on
		company size would be effective; and

		Furthermore, publishing assessment results and enhancing educational and support programs would help
		close the information gap and promote better understanding of the system.
		These efforts would create an environment where SMEs and startups can fairly utilize the SEP system,
		making essentiality assessments more cost-effective and accessible.
Q32	Does the current patent	Blank (JAMA will not answer this question.)
	framework provide adequate	
	remedies for SEP litigation?	
Q33	How can bad behaviours in	Blank (JAMA will not answer this question.)
	licensing negotiations be	
	addressed or prevented?	
Q34	Has the threat of injunctions	Blank (JAMA will not answer this question.)
	ever played a part in your	
	SEP negotiations? YES/NO	
Q35	If you believe the threat of	Blank (JAMA will not answer this question.)
	injunctions had an impact on	
	your SEP negotiations,	
	please explain what that	
	impact was, providing	
	appropriate data and	
	evidence.	
Q36	Could the other proposals	We believe this will be helpful. The current issue is that, amid the lack of transparency regarding essentiality
	presented by the	data and FRAND rates, implementers face the threat of patentees seeking injunctions. Therefore, it is
	government in this	necessary to develop and improve protocols such as the SEP pre-action protocol to promote transparency,
	consultation help deal with	and speed, while also establishing rules to prevent SEP holders from using injunctions to circumvent or
	'bad faith' behaviours,	breach their FRAND commitments.
	including the threat of	
	injunctions?	
Q37	How aware are you of ADR	Blank (JAMA will not answer this question.)
	services available to resolve	
	SEP licensing disputes?	

	- u	
	Fully aware	
	Aware	
	Neither aware nor not aware	
	Some awareness	
	Not aware	
	Please explain your answer.	
Q38	Have you used ADR services	Blank (JAMA will not answer this question.)
	to resolve a SEP disputes?	
	Please explain your answer.	
Q39	What barriers, if any, have	Blank (JAMA will not answer this question.)
	affected your ability to use	
	ADR services to resolve a	
	SEP dispute?	
Q40	Are you an ADR provider?	Blank (JAMA will not answer this question.)
	If so, could you explain your	
	experience of dealing with	
	SEP disputes within your	
	services. We are particularly	
	interested in:	
	how many SEP dispute	
	referrals have you had?	
	what are the types of issues	
	parties with SEP disputes are	
	seeking to resolve?	
	where are the parties who	
	are seeking your services	
	based?	
	what ADR services are	
	parties involved in SEP	
	disputes seeking (e.g.	

	mediation, arbitration)?	
	what is the size of the	
	businesses seeking your	
	services to resolve their SEP	
	dispute (e.g. micro (up to 9	
	employees, small (10-49	
	employees), medium (50-	
	249 employees) and large	
	(250 + employees))?	
	what is the success rate of	
	the resolution of the SEP	
	disputes you've	
	encountered?	
Q41	In your view, is there a need	Blank (JAMA will not answer this question.)
	for the government to	
	expand the IPO's mediation	
	services to support	
	businesses to resolve their	
	SEP disputes, or are existing	
	ADR services adequate?	
Q42	Do you think these non-	The three non-regulatory measures are very useful, as they contribute to making license negotiations
	regulatory measures are the	transparent and fair. We hope that the UK will continue to strengthen these measures in the future.
	right ones?	However, we believe that these measures would benefit from some form of legal binding to better help
		promote dispute resolution, especially for small and medium-sized enterprises (provided that that the
		initiatives are subject to adequate procedural safeguards and are based on reliable methodology).
Q43	Do you think there is more	With the EU withdrawing its proposed SEP regulations, we believe that the UK is currently leading the way in
	government can do in its	efforts toward transparency, and we are very grateful for this. We hope the UK will continue to develop rules
	non-regulatory work?	that ensure fairness and transparency in SEP licensing and work together with other countries to make this a
		global trend.

Don't know While we cannot determine whether the cost assumptions are accurate, we agree that the number of special in our assessment of the impacts? (Yes/No/Don't know)  If not, please explain why you did not agree with the assessment  Q45 Are there any other significant costs or benefits that should be included? (Yes/No/Don't know)  If yes, what are they?  Q46 Are you aware of any data or [other data]: YES
in our assessment of the impacts? (Yes/No/Don't know)  If not, please explain why you did not agree with the assessment  Q45 Are there any other significant costs or benefits that should be included? (Yes/No/Don't know)  If yes, what are they?
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(Yes/No/Don't know)  If yes, what are they?
If yes, what are they?
Q46 Are you aware of any data or [other data]: YES
other information that could [cost saving]: Don't know
help us to quantify: [time and other efficiencies]: We do not yet know the actual potential time savings or other efficiency gai
the potential cost savings to that RDT may offer. However, we believe that RDT has the potential to reduce the number of lawsuits and
businesses using RDT rather enable more effective use of resources for development.
than the courts? [benefits of publishing rates]: A minimum requirement for achieving this is the public disclosure of the rate
the potential time and other This enables implementers to visualize the required licensing fees, plan budgets, and assess risks.
efficiency savings from using It also reduces the time and cost associated with negotiations and litigation.
RDT rather than courts?  As a result, it promotes the development of products and services that incorporate standard technologies
the benefits of reducing fosters innovation.
barriers to market entry Furthermore, it reduces the time and cost for patent holders and allows them to collect royalties more
through publishing rates broadly.
determined by the RDT?
(Yes/No/Don't know)
If yes, what are they?
Q47 Please supply any other Blank (JAMA will not answer this question.)

information which you
consider would be useful to
help us assess the impacts o
the options.